

FORM - 3A**(Read with Regulation 10)****Name of the Insurer : SHRIRAM LIFE INSURANCE CO LTD****Registration Number : 128****Statement as on : 30-09-2018****Statement of Investment Assets (Life Insurers)****(Business within India)****Periodicity of Submission : Quarterly****PART - A****Rs. in Crore****Section I**

Investments (Shareholders)	Sch-8	532.84
Investments (Policyholders)	Sch-8A	2,675.83
Investments (Linked Liabilities)	Sch-8B	586.54
Loans	Sch-9	14.05
Fixed Assets	Sch-10	40.17
Current Assets		
a. Cash & Bank Balance	Sch-11	128.98
b. Advances & Other Assets	Sch-12	247.05
Current Liabilities		
a. Current Liabilities	Sch-13	241.71
b. Provisions	Sch-14	26.23
c. Misc. Exp not Written Off	Sch-15	0.00
d. Debit Balance of P&L A/c		0.00
Application of Funds as per Balance Sheet (A)		3,957.52

Less: Other Assets		
Loans (if any)	Sch-9	14.05
Fixed Assets (if any)	Sch-10	40.17
Cash & Bank Balance (if any)	Sch-11	128.98
Advances & Other Assets (if any)	Sch-12	247.05
Current Liabilities	Sch-13	241.71
Provisions	Sch-14	26.23
Misc. Exp not Written Off	Sch-15	0.00
Investments held outside India		0.00
Debit Balance of P&L A/c		0.00
	TOTAL (B)	162.30
Investment Assets	(A-B)	3,795.22

Reconciliation of Investment Assets

Total Investment Assets (as per Balance Sheet)	3,795.22
Balance Sheet Value of:	
A. Life Fund	2,737.88
B. Pension & General Annuity and Group Business	470.79
C. Unit Linked Funds	586.54
	3,795.22

Section II

NON - LINKED BUSINESS

A. LIFE FUND	% as per Reg	SH		PH			Book Value (SH+PH) (f)=[a+b+c+d+e]	Actual % (g)=[(f)-(a)]%	FVC Amount (h)	Total Fund (i)=(f+h)	Market Value (j)
		Balance	FRSM+	UL-Non Unit Res	PAR	NON PAR					
		(a)	(b)	(c)	(d)	(e)					
1. Central Govt. Sec	NOT LESS THAN 25%	0.00	60.38	3.97	458.47	354.24	877.06	34.63	0.00	877.06	845.91
2. Central Govt Sec, State Govt Sec or Other Approved Securities (incl (i) above)	NOT LESS THAN 50%	0.00	158.07	12.06	628.62	505.72	1,304.48	51.51	0.00	1,304.48	1,264.59
3. Investments Subject to Exposure Norms		0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
a. Infrastructure/ Social/ Housing Sector		0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
1. Approved Investments	NOT LESS THAN 15%	0.00	7.59	5.45	262.61	159.59	435.25	17.19	-0.89	434.36	426.96
2. Other Investments		0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00
b. (i) Approved Investments	NOT EXCEEDING 35%	158.34	77.76	6.42	277.14	313.96	833.63	26.66	7.28	840.91	830.31
(ii) "Other Investments" not to exceed 15%		60.34	87.34	0.00	18.73	11.48	177.89	4.64	-19.76	158.14	157.39
TOTAL LIFE FUND	100%	218.68	330.77	23.94	1,187.11	990.76	2,751.25	100.00	-13.37	2,737.88	2,679.25

B. PENSION & GENERAL ANNUITY AND GROUP BUSINESS	% as per Reg	PH		Book Value (c)=(a+b)	Actual % (d)	FVC Amount (e)	Total Fund (f)=(c+e)	Market Value (g)
		PAR	NON PAR					
		(a)	(b)					
1. Central Govt. Sec	NOT LESS THAN 20%	0.00	146.17	146.17	31.16	0.00	146.17	142.04
2. Central Govt Sec, State Govt Sec or Other Approved Securities (incl (i) above)	NOT LESS THAN 40%	0.00	204.22	204.22	43.53	0.00	204.22	199.19
3. Balance in Approved Investment	NOT EXCEEDING 60%	0.00	264.90	264.90	56.47	1.67	266.57	263.17
TOTAL PENSION AND GENERAL ANNUITY FUND	100%	0.00	469.12	469.12	100.00	1.67	470.79	462.37

LINKED BUSINESS

C. LINKED FUNDS	% as per Reg	PH		Total Fund (c)=(a+b)	Actual % (d)
		PAR	NON PAR		
		(a)	(b)		
1. Approved Investments	NOT LESS THAN 75%	0.00	571.45	571.45	97.43
2. Other than Approved Investment	NOT MORE THAN 25%	0.00	15.09	15.09	2.57
TOTAL LINKED INSURANCE	100%	0.00	586.54	586.54	100.00

CERTIFICATION:

Certified that the information given herein are correct, complete and nothing has been concealed or suppressed, to the best of my knowledge and belief.

Date : 24-10-2018

Signature : 

Full Name : MR.G.VAIDYANATHAN

Authorised Signatory



- Note:**
- (+) FRSM refers to 'Funds representing Solvency Margin'
 - Funds beyond Solvency Margin shall have a separate Custody Account.
 - Other Investments shall be as permitted as per Sec 27A (2) of Insurance Act, 1938 as amended from time to time
 - Pattern of Investment is applicable to both Shareholders funds representing solvency margin and policyholders funds.
 - Exposure Norms shall apply to Funds held beyond Solvency Margin, held in a separate Custody Account
- FORM 3A PART A FOOTNOTE